

The role of qSOFA score and biomarkers in assessing severity of community-acquired pneumonia in adults

Raluca-Elena Tripon^{1*}, Victor Cristea², Mihaela-Sorina Lupse³

1. Department of Infectious Diseases, "Iuliu Hatieganu" University of Medicine and Pharmacy; Teaching Hospital of Infectious Diseases, Romania

2. Department of Immunology, "Iuliu Hatieganu" University of Medicine and Pharmacy, Romania

3. Department of Infectious Diseases, "Iuliu Hatieganu" University of Medicine and Pharmacy; Teaching Hospital of Infectious Diseases, Romania

Abstract

Introduction: Community-acquired pneumonia (CAP) is the primary cause of severe sepsis. Severity assessment scores have been created, in order to help physicians decide the proper management of CAP. The purpose of this study was to examine the correlations between different CAP severity scores, including qSOFA, several biomarkers and their predictive value in the 30 day follow-up period, regarding adverse outcome. **Materials and methods:** One hundred and thirty nine adult patients with CAP, admitted in the Teaching Hospital of Infectious Diseases, Cluj-Napoca, Romania from December 2015 to February 2017, were enrolled in this study. Pneumonia Severity Index (PSI), CURB-65, SMART-COP and the qSOFA scores were calculated at admittance. Also, C-reactive protein (CRP), procalcitonin (PCT) and albumin levels were used to determine severity. **Results:** The mean PSI of all patients was 93.30 ± 41.135 points, for CURB-65 it was 1.91 ± 0.928 points, for SMART-COP it was 1.69 ± 1.937 points. The mean qSOFA was 1.06 ± 0.522 points, 21 (14.9%) were at high risk of in-hospital mortality. In the group of patients with qSOFA of ≥ 2 , all pneumonia severity scores and all biomarkers tested were higher than those with scores < 2 . We found significant correlations between biomarkers and severity scores, but none regarding adverse outcome. **Conclusion:** The qSOFA score is easier to use and it is able to accurately evaluate the severity of CAP, similar to other scores. Biomarkers are useful in determining the severity of the CAP. Several studies are needed to assess the prediction of these biomarkers and severity scores in pneumonia regarding adverse outcome.

Keywords: biomarkers, albumin, community-acquired pneumonia, qSOFA, pneumonia severity scores

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* **Corresponding authors:** Tripon Raluca-Elena, Department of Infectious Diseases, "Iuliu Hatieganu" University of Medicine and Pharmacy; Teaching Hospital of Infectious Diseases, Cluj-Napoca, Romania. E-mail: rhorge@yahoo.com

Introduction

Despite the advances in diagnostic methods and treatment, community-acquired pneumonia (CAP) remains one of the world's major causes of morbidity and mortality (1). Also, it is the primary cause of severe sepsis (1, 2).

It is very important for physicians to decide the proper management of CAP, so several severity assessment scores have been created in the last decades, in order to help them. Pneumonia severity index (PSI) is the most favoured and established severity scoring method measuring mortality risk in patients with pneumonia, but it is also very complex and hard to use in daily practice (3). Therefore, the CURB-65 score was proposed as a simplified alternative (4). Several other prognostic tools have been developed, such as SMART-COP for assessing the need of intensive respiratory and vasopressor support (IRVS) (5). Also, biomarkers have been proposed to predict severity in CAP. The level of serum C-reactive protein (CRP) is considered to be a useful marker for establishing the diagnosis and severity of CAP in adults (6). Procalcitonin (PCT) is also a well-studied biomarker for predicting severity in CAP, and studies have found it can be used accurately in clinical practice, to guide antibiotic therapy (7). Serum albumin was examined in critically ill patients showing that it is an indicator of inflammation rather than a nutritional status indicator (8). Lower plasma albumin concentrations in CAP seemed to be a strong predictor of mortality (8).

Using the quick Sequential Organ Failure Assessment (qSOFA), the sepsis concept was revised for a better classification of patients at high risk of death (9). A qSOFA score of ≥ 2 plus a suspected infection was recommended to help classify patients with possible sepsis outside of the intensive care unit (ICU) (10). Pneumonia is a major cause of sepsis, therefore, it is important to determine the outcome prediction of the

qSOFA score in these patients. A recent Japanese research used SOFA and qSOFA scores in combination, in order to evaluate the severity of Healthcare associated pneumonia (HCAP) and CAP (11).

In this study, we investigated the correlations between different severity scores in CAP, including qSOFA and their predictive value regarding adverse outcome, consisting in relapses and death. We also investigated the relationship between severity scores and several markers like C-reactive protein (CRP), procalcitonin (PCT) and albumin levels and their predictive value regarding adverse outcome. The association between adverse outcome and several interleukin levels in CAP has been studied elsewhere (12).

Method

This observational study was conducted in the Teaching Hospital of Infectious Diseases Cluj-Napoca, Romania, between December 2015 and February 2017 and included adult patients with CAP defined according to the IDSA (13). This work was approved in compliance with the ethical standards of the Helsinki Declaration, by the medical ethics committee of both the Hospital and "Iuliu Hatieganu" University of Medicine and Pharmacy Cluj-Napoca, Romania. A written informed consent was obtained from patients or their closest relatives in each case. Exclusion criteria were represented by: age under 18 years old, pregnancy, HIV infection, active tuberculosis and autoimmune diseases requiring immunosuppressive drugs. Patients were enrolled in the study group within 24 hours of hospital admission. We recorded: demographical data, clinical data, length of stay (LOS) in hospital, presence of complications such as: sepsis, according to the ACCP/SCCM and SEPSIS 3 criteria (10,14), acute respiratory failure and pleurisy.

The follow-up period was 30 days after discharge. We recorded adverse outcomes during this period, meaning relapses and death.

At admission, we evaluated microorganisms isolated from sputum and/or blood cultures. Sputum processing involved performing a Gram stain and culturing on culture media such as blood agar, chocolate agar and MacConkey agar. Subsequently, the identification method used was MALDI TOF mass spectrometry using MALDI Biotyper (Bruker). We also performed a serological test to detect antibodies against *Mycoplasma pneumoniae* and *Chlamydia pneumoniae*. Also, the rapid influenza diagnostic test was used when necessary, during the winter outbreaks. Blood samples were taken after admission to determine standard analytical parameters. CRP and serum albumin concentrations were analysed using the CRPL3 package in the COBAS C311 module analyser (Roche/Hitachi) from serum turbidimetric immunoassay with latex particles coated with anti-CRP, monoclonal antibodies. Normal values for CRP were 0-1 mg/dl, and for albumin 3.2-5.2 g/dl.

PCT levels were analysed from serum using the one-step immunoassay sandwich method with a final fluorescent detection (ELFA), by VIDAS BRAHMS (bio Mérieux). Low risk for sepsis was considered <0.5 ng/dl.

The Pneumonia Severity Index (PSI) (3), CURB-65 (4), SMART-COP (5) as scores for pneumonia severity, and the qSOFA score, were determined upon admission (9).

Statistical analysis

Discrete variables were expressed in parentheses as counts (percentage) and continuous variables as means, \pm standard deviation (SD) or median and interquartile range (IQ), unless otherwise specified. The Chi-square test was used to compare frequency. The Student t test was necessary in order to reveal a two-group comparison of the

normally distributed results. The Mann-Whitney U test was used for data not normally distributed, where only two groups were compared and the Kruskal-Wallis one-way analysis of variance was used when more than two groups were compared. Correlation analyses were conducted using the Spearman rank correlation. All tests were calculated using IBM SPSS version 20.0 (IBM Corp, NY, USA). The receiver – operator curves (ROC) with the value level set at $p < 0.05$, were used to evaluate prediction accuracy by estimating the area under the curve. The ROC curves were generated by MedCalc Statistical Software version 19.1.3 (Ostend, Belgium).

Results

A total of 139 adult patients needing hospitalization due to CAP were included. Baseline features of the study population are summarized in Table I.

The average LOS in hospital was 7.35 ± 3.41 days with no difference between gender ($p = 0.458$) or age ($p = 0.892$).

Sputum cultures were performed in 74/139 patients (53.23%). Blood cultures were performed in 58/139 patients (41.72%), but they were all negative. The etiology was established in 33 (24%) cases: 2- *Streptococcus pneumoniae*, 4- *Haemophilus influenzae*, 1- *Haemophilus parainfluenzae*, 6- *Chlamydia pneumoniae*, 6- *Mycoplasma pneumoniae*, 12- *Influenza virus* (type A and B) during winter outbreaks, 1- *Serratia marcescens* (from community) and 1- *E-coli* (the patient died).

Regarding complications, 24 (17.3%) patients had respiratory sepsis, 33 (23.7%) had pleurisy, 1 (0.7%) had empyema and 119 (85.6%) had different degrees of respiratory failure.

Out of the 139 cases, 7 (5%) required admission in the ICU, 2 requiring mechanical ventilation and dying in hospital.

Table I. Baseline characteristics of the 139 patients

Characteristics	
Age, years	58.73± 18.84 ^a
Male gender, no. (%)	79 (56.8)
Urban areas, no. (%)	80 (57.6)
Smoking status	
Current smoker, no. (%)	33 (23.7)
Past smokers, no. (%)	35 (25.2)
No smokers, no. (%)	71 (51.0)
Toxic environment, no (%)	3 (2.2)
Antibiotic pretreatment	33 (23.7)
Comorbidities, no. (%)	
Hypertensive heart disease	74 (53.2)
Coronary artery disease	53 (38.1)
Congestive heart failure	30 (21.6)
Cerebrovascular disease	20 (14.4)
Renal dysfunction	11 (7.9)
Liver disease	11 (7.9)
Diabetes mellitus	17 (12.2)
Chronic obstructive pulmonary disease	15 (10.6)
Tumors	8 (5.8)
History, no. (%)	
Fever	119 (85.0)
Dry cough	53 (37.9)
Productive cough	68 (48.6)
Dyspnea	74 (52.9)
Laboratory findings	
CRP (mg/dl), median (IQ range)	11.15 [17.45]
Procalcitonin (µg/ml), median (IQ range)	0.08 [1.26]
Albumin (g/dl) median (IQ range)	3.75 [0.68]
Radiographic findings, no. (%)	
Pleural effusion	34 (24.5)
Opacities	83 (59.7)
Interstitial infiltrate	20 (14.4)
Multilobar opacities	23 (16.4)
PSI class, no. (%)	
I	21 (15.1)
II	16 (11.5)
III	32 (23.0)
IV	47 (33.8)
V	23 (16.5)
CURB-65 groups, no. (%)	
1	45 (32.4)
2	62 (44.6)
3	32 (23.0)
SMART-COP class, no. (%)	
1	103 (74.1)
2	19 (13.7)
3	11 (7.9)
4	6 (4.3)
qSOFA score	
<2	118 (83.7)
≥2	21 (14.9)

^a Values are means ± standard deviation unless otherwise specified. The percentages may not add up to 100 because of rounding. PCT= procalcitonin; CRP=C-reactive protein; PSI = pneumonia severity index; CURB-65 (C-confusion; U- blood urea; R= respiratory frequency; B- Blood pressure, 65- age); SMART-COP (S-systolic blood pressure, M- multilobar involvement, A- serum albumin, R-respiratory rate, T- tachycardia; C- confusion, O- oxygen saturation, P- pH); qSOFA =quick SOFA

During the 30-day follow-up period, 23 patients (16.5%) had adverse outcome, consisting in relapses (21 cases) and/or death (2 cases).

We found that the qSOFA score corresponds with certain severity scores for pneumonia, meaning CURB-65 and SMART-COP. We ob-

served substantial associations between CRP rates and CURB-65, SMART-COP and qSOFA, but not PSI, while PCT was associated with all the severity scores. We found that lower albumin levels correlate with all severity scores. Also, biomarkers correlate with each other (Table II)

Table II. Correlations between severity scores in CAP

Correlations						
	PSI- score	CURB65- score	SMART- COP-score	qSOFA score	CRP	PCT
PSI-score	Correlation Coefficient	1.000	0.644**	0.338**	0.154	0.300**
	Sig. (2-tailed)	.	<0.001	<0.001	0.593	<0.001
CURB65- score	Correlation Coefficient	0.644**	1.000	0.522**	0.324**	0.328**
	Sig. (2-tailed)	<0.001	.	<0.001	<0.001	<0.001
SMART- COP-score	Correlation Coefficient	0.338**	0.522**	1.000	0.436**	0.421**
	Sig. (2-tailed)	<0.001	<0.001	.	<0.001	<0.001
qSOFA score	Correlation Coef- ficient	0.154	0.324**	0.436**	1.000	0.242**
	Sig. (2-tailed)	<0.001	<0.001	<0.001	<0.001	<0.001
CRP	Correlation Coefficient	0.046	0.256**	0.348**	1.000	0.640**
	Sig. (2-tailed)	0.593	0.002	<0.001	0.001	<0.001
PCT	Correlation Coefficient	0.300**	0.328**	0.421**	0.242**	1.000
	Sig. (2-tailed)	<0.001	<0.001	<0.001	0.004	<0.001
Albumin	Correlation Coefficient	-0.266**	-0.317**	-0.520**	-0.360**	-0.388**
	Sig. (2-tailed)	0.002	<0.001	<0.001	<0.001	<0.001

**. Correlation is significant at the 0.01 level (2-tailed).

Spearman's rho

We divided the patients according to the qSOFA score into 2 groups: <2 and ≥ 2 and we looked for correlations with other severity scores and with the studied biomarkers. The results were that the pneumonia severity scores, CRP and PCT were higher in the group of patients with a qSOFA of ≥ 2 compared to those with scores of <2 , while lower albumin levels were found in the qSOFA ≥ 2 group (Table III).

We investigated the capacity of the studied CAP prognostic scores and biomarkers included in this study to predict adverse outcome. For our patients, the area under the receiver operating characteristic curve (AUC) for PSI, CURB-65, SMART-COP and qSOFA scores were 0.681 ($p=0.006$), 0.586($p=0.191$), 0.645 ($p=0.028$) and 0.542 ($p=0.527$), respectively (Figure 1).

Table III. Correlations between qSOFA and other pneumonia severity scores and biomarkers among CAP patients

	qSOFA <2 (n=118)	qSOFA ≥ 2 (n=21)	p-value
PSI (mean \pm SD)	91.16 \pm 38.19	105.33 \pm 54.40	0.022
CURB-65(mean \pm SD)	1.83 \pm 0.86	2.38 \pm 1.161	0.003
SMART-COP (mean \pm SD)	1.42 \pm 1.73	3.24 \pm 2.30	0.000
PCT (mean \pm SD)	1.61 \pm 4.76	4.12 \pm 9.81	0.000
CRP (mean \pm SD)	11.86 \pm 10.11	15.91 \pm 11.26	0.003
Albumin (mean \pm SD)	3.70 \pm 0.56	3.12 \pm 0.76	0.000

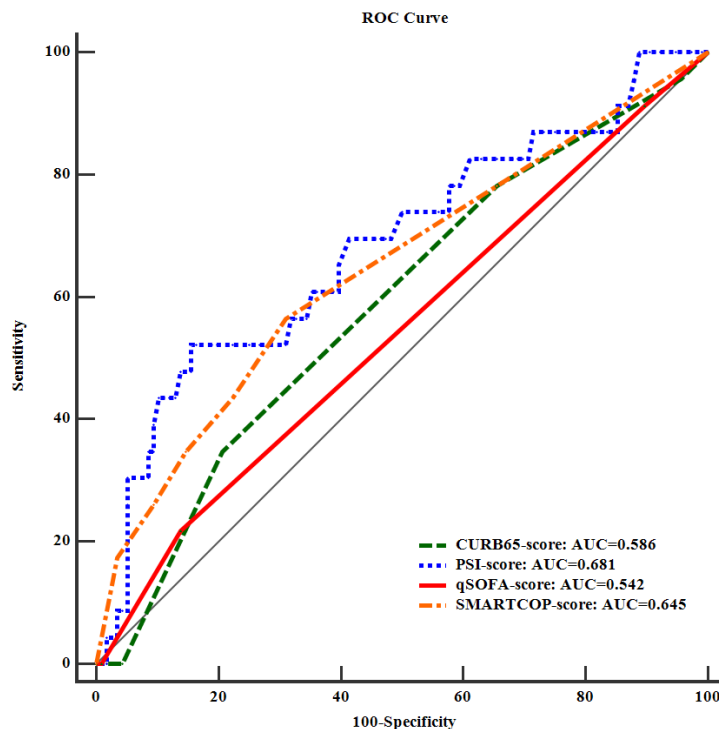


Fig. 1. Receiver operating characteristic curves for PSI, CURB-65, SMART-COP and qSOFA scores for adverse outcome in CAP patients

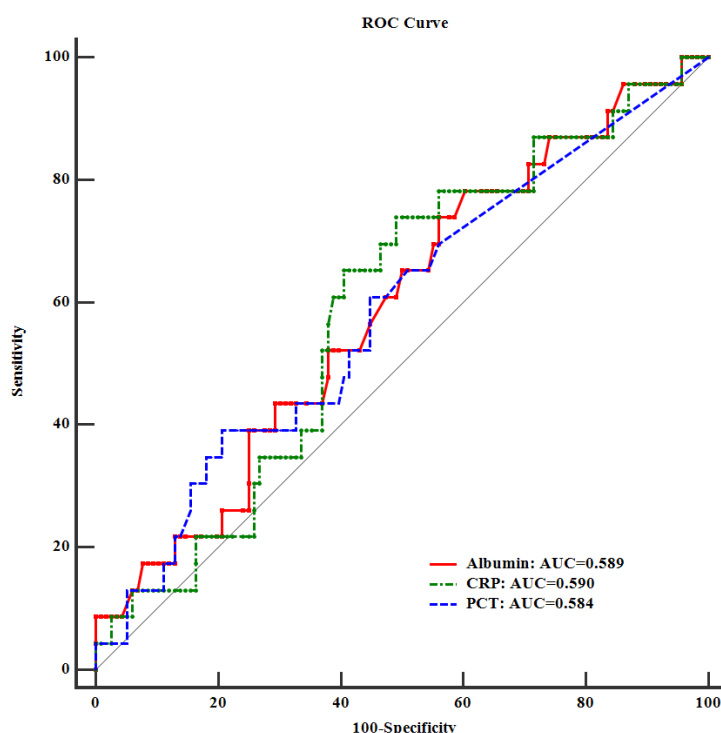


Fig. 2. Receiver operating characteristic curves for PCT, CRP and low albumin levels for adverse outcome in CAP patients

With regard to the biomarkers used, the AUC for CRP, PCT and serum albumin were 0.590 ($p=0.152$), 0.584 ($p=0.199$) and 0.589 ($p=0.168$), respectively (Figure 2).

Discussion

In our research, we found a higher incidence among males and the elderly, similarly to others (15). Our study population had a mean LOS of 7.35 days, which was a little shorter than in most similar cohorts in Europe. The mean LOS for CAP patients in Europe and in the United States of America differs greatly (13,16,17). Rozenbaum M. et al registered a mean LOS of 6.7 days, compared to Garau J. et al who found a mean LOS of 11.5 days. Unlike other reports, we found the etiology in only 24% of cases and that is mainly due to a relatively high percentage of contaminated sputum collected from patients

and because of the use of antibiotics before admission in 23.7 % of cases.

CAP is known to be the leading cause of sepsis, so early management is based on severity assessment tools. To predict severity at admission, we used CURB-65, PSI, SMART-COP and qSOFA scoring systems. The majority of our patients were included in PSI class risk 4 and 5 and CURB-65 group 2 and 3, but only 2 patients died (1.4%) during the hospital stay. Although mortality rates are higher in the PSI class risk 5 (27-31%) (3), and in the CURB-65 group 3 (30%) (4), our patients had a favourable outcome. This is partly explained by the fact that there are many elderly patients, with multiple comorbidities, which results in higher points on severity scores.

Regarding the need for IRVS calculated with SMART-COP, most of our cases posed low risk.

Only 21 cases were considered to be at high risk of in-hospital mortality using the qSOFA score. We found that the qSOFA score correlates with other severity scores in CAP, meaning CURB-65 and SMART-COP, but not with PSI. The similarities between the CURB-65 and the qSOFA scores can be explained by the fact that they have comparable parameters, although the hypotension and respiratory rate thresholds for CURB-65 were stricter than those for qSOFA.

The PSI score is recognized in patients with CAP as the best predictor of mortality, but it is considerably more complex than the other severity scores, because it uses more variables. Nonetheless, it is not used adequately in clinical practice, as physicians find it hard to use (18). Chen et al (19) stated that qSOFA was better than CRB-65 in identifying high mortality risk individuals and pneumonia patients requiring ICU admission. In our research, qSOFA was also used to predict adverse outcomes in CAP patients and was compared with CURB-65, PSI and SMART-COP. AUROC for qSOFA was lower than for PSI and SMART-COP, close to CURB-65. However, only PSI and SMART-COP reached statistical significance, reinforcing the idea that PSI is still the best severity predictor in patients with CAP. In a retrospective study including 1545 patients, Dosanjh D. et al concluded that CURB-65 and qSOFA can both stratify according to risk on 30-day mortality, but none are particularly precise (19). Tokioka F. et al found that qSOFA's prognostic performance for in-hospital mortality and admission to ICU was not substantially different from CURB-65 and PSI (21). Similarly, our results demonstrated that the qSOFA score did not outperform PSI and SMART-COP scores and it was similar to CURB-65 regarding adverse outcome. One possible explanation is that our mortality level was very low. The same results were reported by Ahnert P. et al in a recent study (22).

All severity scores in the category of patients with qSOFA ≥ 2 were found to be higher than those with scores < 2 . Similarly, Asai N. et al (11) proved that the predictive value of the SOFA score was superior to other predictive scores for CAP patients with a qSOFA score ≥ 2 . A qSOFA score ≥ 2 may therefore be associated with pneumonia severity.

CRP and PCT have become the most extensively tested in CAP as useful methods for diagnosis, prognosis and follow-up treatment (23). We used CRP and PCT levels in order to see if value at admittance can assess disease severity and we found correlations between PCT and all of the severity scores used and between CRP and most of the scores, with the exception of PSI. Naderi HR et al. found that PCT levels were substantially higher in patients with severe pneumonia PSI class ≥ 4 , CURB-65 ≥ 3 and SMART-COP ≥ 3 (24). Chalmers JD et al. demonstrated a strong correlation between CRP during hospital stays and 30-day mortality, the need for mechanical ventilation and/or vasopressor support, CRP being an independent CAP severity marker (25). We found no correlation between CRP and the PSI score, although we did find associations between CRP and CURB 65, SMART-COP and qSOFA scores. Somehow similarly to us, Thiem U et al. also found no relationship between CRP and PSI (26). CRP and PCT levels were higher in the qSOFA score ≥ 2 , than in the qSOFA score < 2 , meaning that their concentrations increase with severity.

Serum albumin levels play an important role in the maintenance of normal colloid osmotic pressure, the transport of endogenous components and the scavenging of oxidizing agents (27). Hypoalbuminemia's role in causing a poor prognosis in an illness is largely associated with malnutrition and mostly seen in elderly hospitalized patients. However, systemic inflammatory response can decrease serum albumin levels (28). Studies in CAP have shown that non-survivors

had lower albumin levels than survivors (24). In our study, low albumin levels were correlated with all severity scores. When we compared albumin levels in the group with qSOFA score of <2 and the group with qSOFA score of ≥ 2 , we observed, similarly to others, a significant difference in albumin levels with increasing severity of CAP. In 3463 patients hospitalized with CAP, Viasus D et al. found that the lower the serum albumin levels were, the higher the risk of complications was, and that low albumin levels were independently associated with a poor outcome, within 24 hours of admission (29). In a large cohort of CAP patients, Magnussen B et al. found that the level of plasma albumin was a strong mortality predictor for CAP patients, and that hypoalbuminemia was caused by factors related to the acute response (30). When we searched for the ability of the studied biomarkers to predict adverse outcome, none of them reached statistical significance, probably because the number of patients included was small and the adverse outcomes were seen in only 16.5% of patients. Our study has some limitations. First of all, the study included a limited number of patients from one hospital. A broad multicentre study is required to assess the accuracy of the qSOFA score and other severity scores as prognostic tools for adverse outcomes among CAP patients. Secondly, our study included patients with a lot of comorbidities that could be a bias for assessment scores. Another limitation is that we determined CRP, PCT and albumin levels only at admittance and not in dynamic.

Conclusions

In conclusion, our study showed that the qSOFA score- a much simpler to use score- was able to evaluate the severity of CAP, similarly to other severity scores for CAP. All severity scores and biomarkers in the category of patients with a qSOFA of ≥ 2 were higher than those with scores

of <2 . Serum albumin may be a cheap, easily available marker that can be correlated with the severity of CAP. Several studies are needed to assess the prediction of these biomarkers and severity scores in pneumonia, regarding adverse outcome.

Abbreviations

CAP- Community-acquired pneumonia
 HCAP- Healthcare associated pneumonia
 IDSA- Infectious Diseases Society of America
 ACCP/SCCM- American College of Chest Physicians/ Society of Critical Care Medicine
 LOS-length of stay
 PSI- Pneumonia Severity Index
 CURB- C-confusion; U- blood urea; R= respiratory frequency; B- Blood pressure
 SMART-COP- S-systolic blood pressure, M- multilobar involvement, A- serum albumin, R-respiratory rate, T- tachycardia; C- confusion, O- oxygen saturation, P- pH
 qSOFA - quick sequential organ failure assessment
 ICU- intensive care unit
 IRVS- Intensive respiratory and vasopressor support
 CRP- C-reactive protein
 PCT- procalcitonin
 ROC- receiver operating characteristic

Authors' contribution

TRE- Conceptualization, Investigation, Formal Analysis, Writing, Project Administration
 CV- Supervision, Validation, Project Administration
 LM- Writing-review, Supervision, Validation, Project Administration

Conflict of interest

There was no conflict of interest in this work.

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